UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

GRADY SCOTT WESTON, Individually and On Behalf of All Others Similarly Situated,

Plaintiffs,

V.

RCS CAPITAL CORPORATION, RCAP
HOLDINGS, LLC, RCAP EQUITY, LLC,
NICHOLAS S. SCHORSCH, BRIAN S.
BLOCK, EDWARD MICHAEL WEIL,
WILLIAM M. KAHANE, BRIAN D. JONES,
PETER M. BUDKO, MARK AUERBACH,
JEFFREY BROWN, C. THOMAS
MCMILLEN, and HOWELL WOOD

Defendants.

Civ. No. 1:14-CV-10136-GBD

NOTICE OF LEAD PLAINTIFFS' MOTION FOR FINAL APPROVAL OF PROPOSED CLASS ACTION SETTLEMENT AND PLAN OF ALLOCATION

PLEASE TAKE NOTICE that Lead Plaintiffs, Oklahoma Police Pension Fund and Retirement System and City of Providence, Rhode Island ("Lead Plaintiffs"), on behalf of themselves and the Settlement Class, through their counsel, will move this Court on September 28, 2017 at 10:00 a.m., before the Honorable George B. Daniels for orders, pursuant to Rule 23 of the Federal Rules of Civil Procedure: (1) granting final approval of the proposed Settlement; and (2) approving the proposed Plan of Allocation.

PLEASE TAKE FURTHER NOTICE that in support of this motion, Lead Plaintiffs submit and are filing herewith the: (1) Memorandum of Law in Support of Lead Plaintiffs'

Motion for Final Approval of Proposed Class Action Settlement and Plan of Allocation, dated August 14, 2017; and (2) Joint Declaration of Deborah Clark-Weintraub and Ira A. Schochet in Support of Lead Plaintiffs' Motion for Final Approval of Proposed Class Action Settlement and Plan of Allocation and Lead Counsel's Motion for Award of Attorneys' Fees and Payment of Litigation Expenses, dated August 14, 2017, with annexed exhibits.

Proposed orders will be submitted with Lead Plaintiffs' reply submission, after the deadlines for objecting and seeking exclusion have passed.

DATED: August 14, 2017 Respectfully submitted,

LABATON SUCHAROW LLP

By: /s/Ira A. Schochet
Joel H. Bernstein
Ira A. Schochet
Eric D. Gottlieb
140 Broadway
New York, NY 10005
Telephone: (212) 907-0700

-and-

SCOTT+SCOTT, ATTORNEYS AT LAW, LLP

Deborah Clark-Weintraub Max R. Schwartz The Helmsley Building 230 Park Avenue, 17th Floor New York, New York 10169 Telephone: (212) 223-6444

Lead Counsel for Lead Plaintiffs

CERTIFICATE OF SERVICE

I hereby certify that on August 14, 2017, I caused the foregoing Notice of Lead Plaintiffs' Motion for Final Approval of Proposed Class Action Settlement and Plan of Allocation to be served electronically on all ECF participants.

/s/ Ira A. Schochet
Ira A. Schochet